What do you want to know about ESSENCE that would help to inform our work? -Ability for OHA to gather and release it is currently connected to the COVID pandemic.

ESSENCE predates covid and will continue long into the future. It is a public health surveillance tool designed to track multiple types of illnesses, injuries, and environmental health related outcomes to name a few. Other states have versions of ESSENCE and it exists on a national level as well.

How can we elevate the importance of it's use and advocate that it continues post-pandemic?

ESSENCE began as a federally funded project, and will hopefully continue for many years.

The timeliness of data released is what is tied to the Pandemic. This timeliness in data being released was not there pre-pandemic.

ESSENCE data is primarily comprised of medical records for emergency department and urgent care center visits (not hospital visits or stays) and as such ESSENCE data in it's raw form <u>is never released to the public</u> as medical records are highly protected.

ESSENCE also includes air quality data and poison center call data as well as reportable disease tracking data.

Public facing ESSENCE reports that contain charts and summaries of predefined syndromes such as suicidal ideation, or opioid overdoses have been through a rigorous approval process, and will continue to be available but may evolve one day to be something more interactive such as a dashboard on OHA's website.

### -How is it collected?

## All non federal emergency departments in the state of Oregon, and many urgent care centers voluntarily transmit their medical records in a format known as HL-7

### What are the different pieces that make up the definition of the algorithm?

### I've summarized this from the ESSENCE user's module:

- 1. All of the algorithms are one-sided tests that monitor for unusually high counts.
- 2. In addition to methods based on single time series, some ESSENCE implementations also include space-time cluster detection based on scan statistics.

### **<u>1. Algorithm:</u>** Linear Regression

Categorization: Adaptive Multiple Regression Model

<u>Purposes:</u> This model is an adaptive regression model applied to remove the systematic behavior often seen in time series of daily, syndromic, clinical visit counts and in other surveillance data. The reason for removing these common effects is to avoid bias in identifying unusual behavior. For example, there is a customary jump in visits on Mondays because many clinics resume normal hours, and this expected jump should not automatically increase the possibility of an alarm. Similarly, alarms should be possible on weekends even though visit counts drop off from weekday levels.

Benefits: The main benefit is avoiding alerting bias resulting from expected data trends..

#### 2. Algorithm: EWMA

Categorization: Adaptive Control Chart

<u>Purposes</u>: This algorithm is appropriate for daily counts that do not have the characteristic features modeled in the regression algorithm. It is more applicable for Emergency Department data from certain hospital groups and for time series with small counts (daily average below 10) because of the limited case definition or chosen geographic region.

This algorithm is designed for any series that does not fit the characteristic trends, so safeguards are included for rapid adjustment to and recovery from data dropouts and catch-ups and for avoiding excessive alerts when counts are sparse.

### 3. Algorithm: Poisson/Regression/EWMA (default)

Categorization: Automated switch between data model and control chart

Purpose: Many researchers and developers have applied complex statistical models to

surveillance data for prediction and detection. However, the predictive capability of a model varies according to the specific data stream and how it is filtered and aggregated. This capability may also be affected by data behavior changes that result from seasonal variations, population shifts, and changes in the informatics. To account for such day-to-day changes, ESSENCE automatically monitors its predictive capability of its regression model each day. When this test fails, indicating that the model is not helpful for explaining the data, the system switches to the EWMA adaptation described above. The result is that the regression model is usually applied for the common respiratory and gastrointestinal syndrome classifications applied to county-level data, but EWMA is more commonly applied to rare syndrome data.

For situations where less than a week of recent baseline data exists, a simple Poisson detector is applied. Such situations include new start-ups and more common restarts after long (several-week) intervals of missing data.

<u>Benefits</u>: This algorithm is the default because it is designed to avoid mismatching the method to the data. The regression model accounts for the expected data trends when they are seen in the baseline. When they are absent because of the case definition used to filter the data, because of the size of the monitored region, or because of data problems, alerting is based on the EWMA algorithm.

### . Algorithm: GStat

Categorization: Temporal Scan Statistic

<u>Purpose</u>: GStat was added to ESSENCE for applications that require sensitivity to brief signals with relatively few cases in sparse data series, but at a manageable false alarm rate. For example, the problem of interest may be to monitor daily counts of visits with one of the rarer syndromes such as rash or to monitor cases from a small geographic region, both of which can produce time series with many zeros. For prompt sensitivity to the beginning of an outbreak, a simple case threshold (alert whenever there are more than 3 cases in a day) may produce excessive false alarms.

-Quality of data is of concern.

The three areas that define public health data quality are completeness, timeliness and validity. The quality of ESSENCE data in these terms is very good overall.

How many hospitals are participating / providing data?

ESSENCE data isn't derived from hospitals but rather emergency departments which may or may not be free standing, and urgent care centers. <u>All non-federal</u> <u>emergency departments in the state of Oregon voluntarily participate</u>. Urgent care centers open and close over time. Currently we have 101 separate facilities participating.

Was / is this system going to be erased and a new one taking it's place? No plans for it to close.

-Can we have a presentation from OHA on ESSENCE?

I've enclosed a history of ESSENCE presentation for you to review.

-Is it only during emergencies that we can get immediate access to data?

Perhaps this assumption grew from the fact that it is emergency department data? OHA have been receiving data daily for several years.

ESSENCE stands for Electronic Surveillance System for the Early Notification of Community-Based Epidemics...so this is meant to be emergency related data. One idea is to label suicide a public heath epidemic so that this data can still be released regularly as it is now.

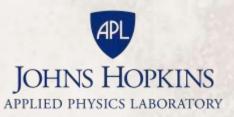
Epidemics tend to have a negative connotation but they can be healthy and positive. For example more mothers are breastfeeding their babies than in previous decades because we've learned that is a protective factor against illness in later life. Of course we don't have a breast feeding epidemic, but I mention this because some words like epidemic are triggering or can alienate groups. I'm thinking specifically of the "obesity epidemic" which was not the finest hour in public health history. I would caution this group about labeling something a suicide epidemic as it might create the wrong public perception, especially in light of the prevention efforts lead by groups like yours which have helped to reduce potential suicides. This is my personal opinion though and you are free to disregard it.

-How is this different than what comes out from hospitals / Eds during the annual report? Quality of data and number of hospitals that participate.

I believe some these questions have been answered in some of the above paragraphs. I'm not sure what the annual report referred to is so I can't be sure how to define the differences.

## **History of ESSENCE**





### What is ESSENCE ?

January 2001

Slide Show Control

Syndrome URI by Zip

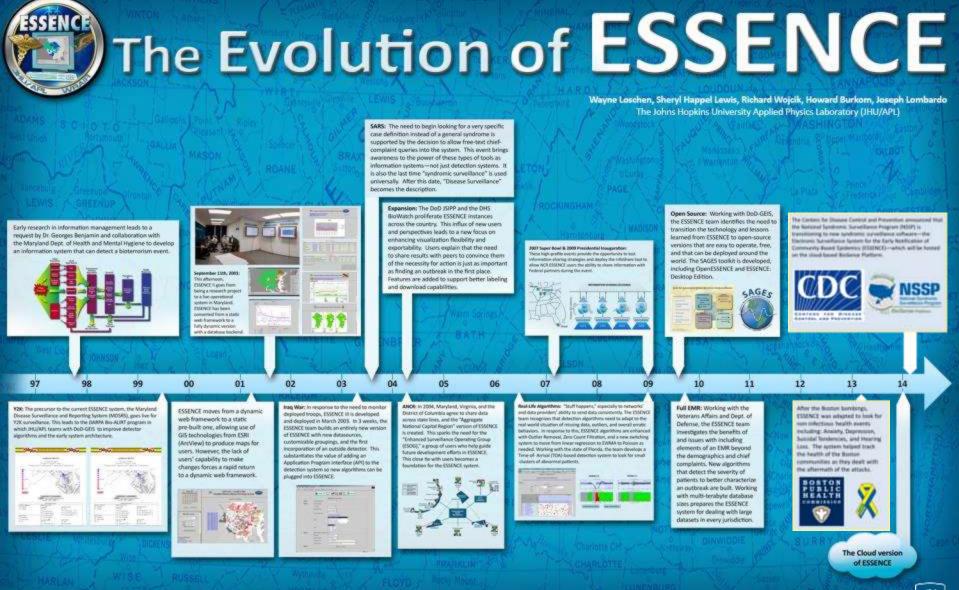
Start 01 01 2001 End 01 15 2001 Month-Day-Year E lectronic
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Syndrome-URI Status

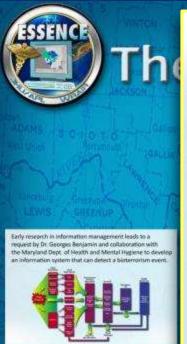
Web-based disease surveillance information system developed to alert Health Authorities of infectious disease outbreaks, including possible bioterrorism attacks

### Electronic Disease Surveillance

### Absenteeism Radiology Epidemiologist **Gathers Additional** Data Diagnostic Labs Surveillance data Lab reports PUBLIC Epidemiologist HEALTH Poison • Facility reports **Performs Daily** RESPONSE Control System Review • Verbal reports **INITIATED** Prescriptions **ED Chief** Complaints Outbreak Confirmed Nurse **Call Center** Alert is Identified for a Over the Particular Day/Syndrome **Counter Sales**



APL

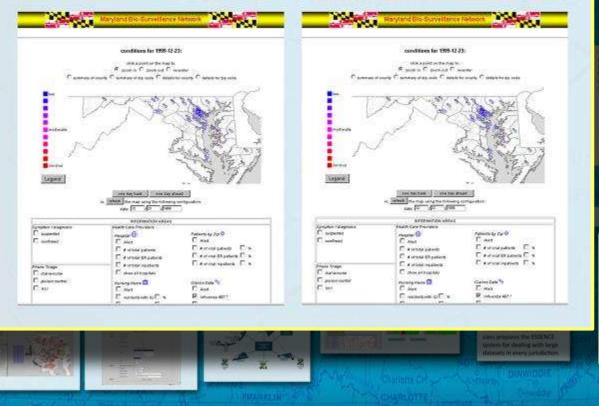


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20: The pression to the current ESENCE system, the Maryland locate Sorverlience and Reporting System (MOSR), pass live for 20: aurordiance, This leads to the DARNA Non-AUT program is which URAN teams with DoD-GDS to improve detector algorithms and the safet system architectore.



**Y2K:** The precursor to the current ESSENCE system, the Maryland Disease Surveillance and Reporting System (MDSRS), goes live for Y2K surveillance. This leads to the DARPA Bio-ALIRT program in which JHU/APL teams with DoD-GEIS to improve detector algorithms and the early system architecture.

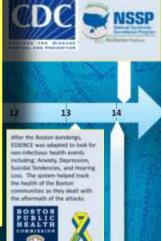


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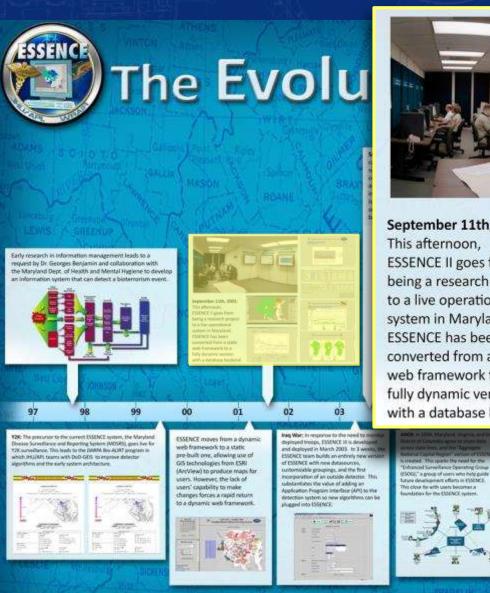
Howard Burkom, Joseph Lombardo

is Laboratory (JHU/APL)



The Cloud version of ESSENCE

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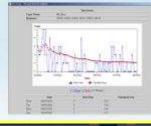




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September 11th, 2001: This afternoon, ESSENCE II goes from being a research project to a live operational system in Maryland. ESSENCE has been converted from a static web framework to a fully dynamic version with a database backend.







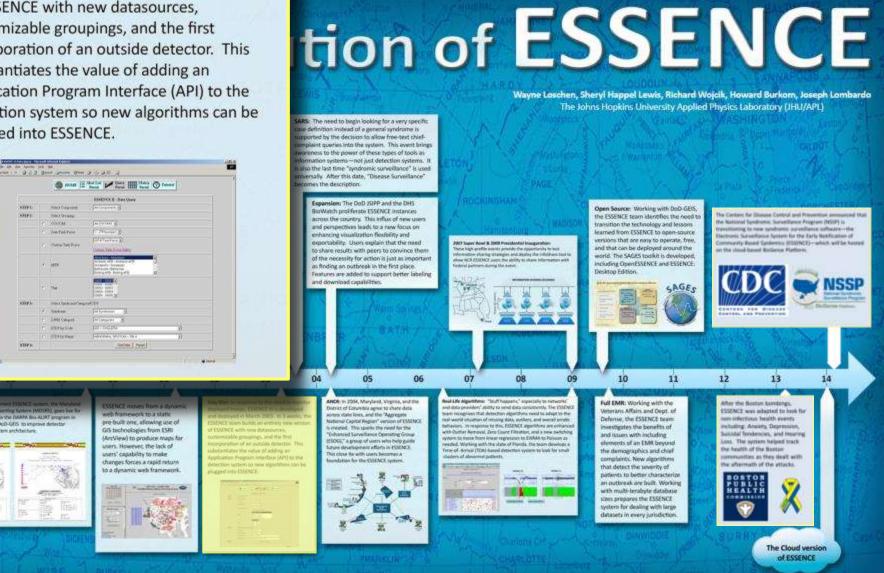
with Outline Removal, Zero Couve Fillenteer, and a new switching and issues with including in to move from linear regression to EMMAA to Polouen as elements of an EMR beyond wedled. Working with the state of Florida, the team develops a are of Avisal (TDA) based detection system to loak for small the demographics and chief complaints. New algorithms that detect the severity of patients to better characterize an outbreak are built. Working with multi-terabyte database sizes prepares the ESSENCE system for dealing with large datasets in every jurisdiction.

Sun Idal Tendencies, and Hearing Long. The system helped track the health of the Boston commutation as they dealt with aftermath of the attacks

The Cloud version of ESSENCE

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Iraq War: In response to the need to monitor deployed troops, ESSENCE III is developed and deployed in March 2003. In 3 weeks, the ESSENCE team builds an entirely new version of ESSENCE with new datasources, customizable groupings, and the first incorporation of an outside detector. This substantiates the value of adding an Application Program Interface (API) to the detection system so new algorithms can be plugged into ESSENCE.



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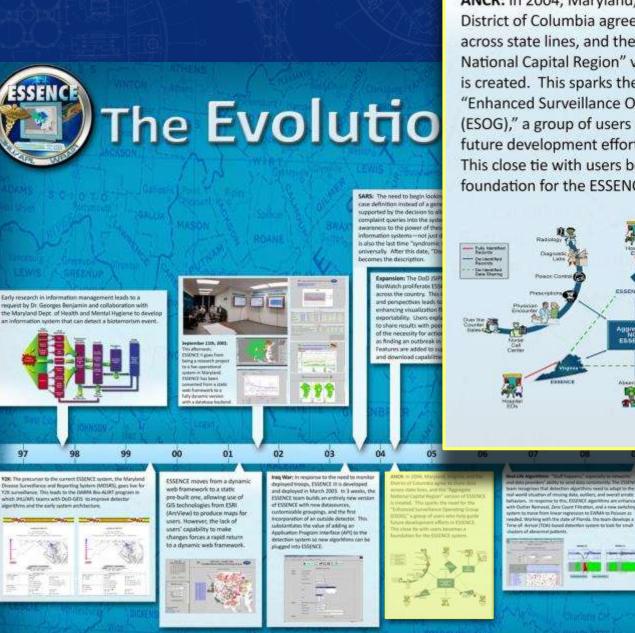
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pre-built one, allowing use of GIS technologies from ESRI (AntView) to produce maps for users. However, the lack of users' casability to make changes forces a rapid return to a dynamic web framework.

ESSENCE could not have been built without the support of many sponsors, numerous collaborators, perceptive users, and all of the dedicated members of the ESSENCE team



ANCR: In 2004, Maryland, Virginia, and the District of Columbia agree to share data across state lines, and the "Aggregate National Capital Region" version of ESSENCE is created. This sparks the need for the "Enhanced Surveillance Operating Group (ESOG)," a group of users who help guide future development efforts in ESSENCE. This close tie with users becomes a foundation for the ESSENCE system.



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NCE Howard Burkom, Joseph Lombardo

IS Laboratory (JHU/APL)

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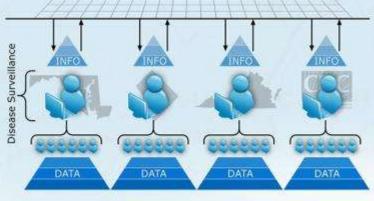
SARS: The need to begin looking for a very specific case definition instead of a general syndrome is Wayne Loschen, Sheryl Happel Lewis, Richard Wojcik, Howard Burkom, Joseph Lombardo The Johns Hopkins University Applied Physics Laboratory (JHU/APL)

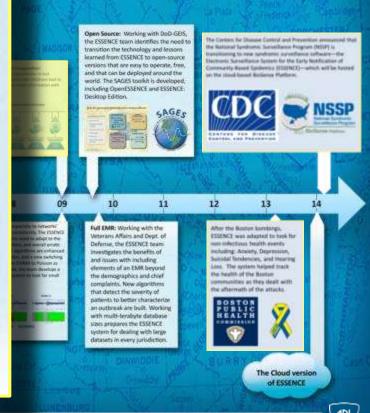
2007 Super Bowl & 2009 Presidential Inauguration:

These high-profile events provide the opportunity to test information-sharing strategies and deploy the InfoShare tool to allow NCR ESSENCE users the ability to share information with Federal partners during the event.



INFORMATION SHARING EXCHANGE







Early research in

request by Dr. Ge

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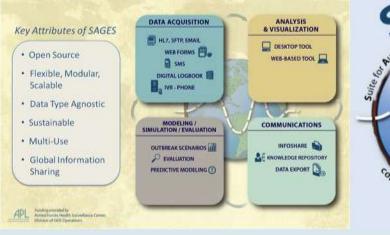
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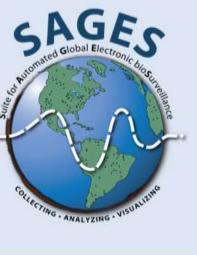
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# The Evolution of ESSENCE

Working with DoD-GEIS, the ESSENCE team identifies the need to transition the technology and lessons learned from ESSENCE to open source versions that are easy to operate, free, and can be deployed around the world. The SAGES toolkit is developed; including OpenESSENCE and ESSENCE: Desktop Edition.

#### Suite for Automated Global Electronic bioSurveillance





Wayne Loschen, Sheryl Happel Lewis, Richard Wojcik, Howard Burkom, Joseph Lombardo Lons Hopkins University Applied Physics Laboratory (JHU/APL)

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## The Evolution of ESSENCE

After the Boston bombings, ESSENCE was adapted to look for non-infectious health events including: Anxiety, Depression, Suicidal Tendencies, and Hearing Loss. The system helped track the health of the Boston communities as they dealt with the aftermath of the attacks.

n, Sheryl Happel Lewis, Richard Woicik, Howard Burkom, Joseph Lombardo e Johns Hopkins University Apolled Physics Laboratory (JHU/APL)

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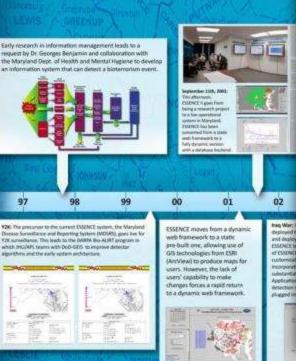
Voteraris Affairs and Dept. of Defense, the ESSENCE team investigates the benefits of and issues with including elements of an EMR beyond the demographics and chief complaints. New algorithms that detect the severity of patients to better characterize an outbreak are built. Working with multi-terabyte database sizes prepares the ESSENCE

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The Cloud version **of ESSENCE** 









# The Evolution of ESSENCE

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CENTERS FOR DISEASE" CONTROL AND PREVENTION

The Centers for Disease Control and Prevention announced that the National Syndromic Surveillance Program (NSSP) is transitioning to new syndromic surveillance software—the Electronic Surveillance System for the Early Notification of Community-Based Epidemics (ESSENCE)—which will be hosted on the cloud-based BioSense Platform. el Lewis, Richard Wojcik, Howard Burkom, Joseph Lombardo B University Applied Physics Laboratory (JHU/APL)

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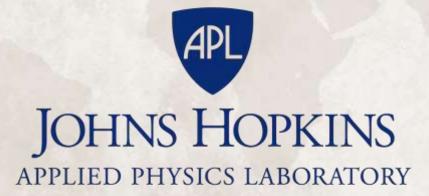
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The Cloud version of ESSENCE

National Syndromic

**BioSense** Platform

Surveillance Program



Access to Data from Oregon ESSENCE

## PROPOSAL GUIDELINES



Oregon Health Authority Public Health Division Preparedness Surveillance and Epidemiology Team September 2016

### **Oregon ESSENCE**

Oregon ESSENCE is the Oregon Public Health Division's statewide syndromic surveillance project. The project collects visit data from emergency departments across the state. While we are eager to support public health, it is our responsibility to ensure that Oregon ESSENCE data are used appropriately. User interface access to Oregon ESSENCE may be granted to authorized users in the Oregon Public Health Division, Oregon local health departments, Tribal health agencies, academic institutions in Oregon and users in hospital facilities throughout the state.

The Oregon ESSENCE project has developed guidelines for people who wish to *publish* or *report* ESSENCE data. Individuals who intend to conduct public health evaluation or surveillance projects using ED data must submit project proposals to a review committee. Proposals of users interested in using poison center or vital statistics data will first be reviewed by those programs before review by the Oregon ESSENCE project. All such proposals must meet professional standards for public health evaluation and have merit and relevance for the Oregon ESSENCE Program.

Any such proposed project activity must fall within at least one of the categories of permitted activities related to Emergency Department data:

- a. To facilitate the interchange of information that can be used to coordinate responses and monitor events routinely and during a potential health event;
- b. For early detection and characterization of events (or health-related threats) by building on state and local health departments systems and programs;
- c. To provide health-related information for: (i) public health situation awareness, (ii) routine public health practice, or (iii) public health evaluation;
- d. To improve the ability to detect emergency health threats by supporting the enhancement of systems to signal alerts for potential problems in collaboration with federal, state and local health jurisdictions and other potential stakeholders.

Data access to Oregon ESSENCE Program will be limited to the scope (timeframe, region and variables) specified in the project proposal.

### What is the Proposal Review Committee?

The Proposal Review Committee was established in 2013 to screen all requests for access to Oregon ESSENCE data for publication or reporting. Committee membership includes representatives from the Oregon ESSENCE Project and subject matter experts, as needed.

### Who should submit a request?

The following categories of users must submit a proposal request to Oregon ESSENCE:

- Any user who wishes to report, present or publish ESSENCE data
- Any user who wishes to re-release or share ESSENCE data outside of a Public Health Authority, hospital or health system
- Any authorized users who is an employee, student or contractor of an academic institution (regardless of plans to release or publish data)
- Any user who is submitting an IRB proposal

Permission to report or publish Oregon ESSENCE data is granted only to individuals with approved requests. Requests for information only, without a need for access to Oregon ESSENCE data, do not require proposal submission.

### **Proposal Process**

Provide the following information in a packet for the Review Committee:

- Concise description of the project objective(s) and research methods
- Data security plan detailing how data will be stored, accessed and destroyed at end of project
- Roles of any individual with access to the data; if the proposal involves a partnership with the Oregon ESSENCE Project provide the roles and responsibilities of all involved parties
- List of requested data elements (see the last portion of this proposal document for a list of available elements)
- Period of time you would like access to the data
- Signed ESSENCE Confidentiality Oath
- Plan for releasing, presenting or otherwise sharing ESSENCE data

### What are the Review Criteria?

All requests will be evaluated based upon:

- Alignment with existing laws and rules Release of the data requested must be allowable per the ESSENCE Data Use Agreements
- Study design A sound and rigorous methodology that uses reliable and valid instruments is expected. Clear procedures for data confidentiality and plans for data destruction are required.
- Technical Assistance required from Oregon ESSENCE Operations Oregon ESSENCE Operations staff will estimate the staff time and resource costs required in each proposal. The committee will decide whether the benefits of the proposed research outweigh the program costs.

### What Follow-up is required?

- The Oregon ESSENCE Project has the right to review all manuscripts and presentations using Oregon ESSENCE data before dissemination or submission for publication.
- Share a copy of any manuscripts following publication and slides following presentation.

Oregon ESSENCE data use and project staff contribution should be acknowledged in all publications and presentations.

If members of Oregon ESSENCE have made substantial contributions to any project, the Oregon ESSENCE Project maintains the right to co-authorship.

### PROPOSAL CHECKLIST

Please ensure that all necessary parts of the proposal are enclosed and that all materials are clear and legible.

Objective(s) and Methods (no more than 1 page)
 Data Security Plan (no more than a paragraph)
 Roles of any individual with access to the data (detailed list)
 List of Data Elements Requested (fill out table on pgs. 5-6)
 Signed ESSENCE Confidentiality Oath
 Plan for dissemination of results
 Request for assistance with analysis or training in the ESSENCE application

### Please send proposals to:

Oregon ESSENCE Manager

### Email:

Oregon.ESSENCE@state.or.us

### Mailing address:

RE: Oregon ESSENCE Proposal Review Committee 800 NE Oregon St, Ste. 772 Portland, OR 97232

Data elements available in Oregon ESSENCE						
Data Element Name	Description of Field	Requested? (Y/N)				
Date/Time Of	Timestamp of when the message was created					
Message	by the "sending system"					
	Timestamp of when the message was created					
	or generated from the "original or treating					
Message Date/Time	facility"					
Hospital/Urgent Care						
Center	Name of the treating facility					
	NPI/OID identifier of the treating facility					
Event Facility	where the patient originally presented					
Medical Record						
Number	Unique identifier for the patient					
Gender	Gender of patient					
Race	Race of patient					
	Patient residence (everything but street					
Patient Address	address)					
Ethnicity	Ethnicity of patient					
Patient Death Date						
and Time	If patient has died, death timestamp					
Patient Death						
Indicator	If patient has died, death flag					
Patient Class	Patient classification within facility					
Unique Visiting ID	Unique identifier for each visit					
	Patient's anticipated location or status					
Discharge Disposition	following ED visit					
	Date/Time of patient presentation to treating					
Admit Date/Time	facility					
Discharge Date/Time	Date and time of disposition					
	Reason patient is admitted as an inpatient					
Admit Reason	from ED.					
Chief Complaint /	Short description of the chief complaint or					
Reason for visit	reason for seeking care.					
Age	Numeric value of patient age at time of visit.					
Initial Temperature	1st recorded temperature, including units					
Initial Pulse Oximetry	1st recorded pulse oximetry value					
Height	Patient height					
Weight	Patient weight					
Triage Notes	Triage notes for the patient visit					

	Date that patient began having symptoms of	
Date of onset	condition being reported	
Clinical Impression	Clinical impression (free text) of the diagnosis	
Diagnosis / External	Diagnosis code or external cause of injury	
Cause of Injury Code	code; send all diagnoses here.	
Diagnosis Date/Time	Date and time of diagnosis	
	Qualifier for Diagnosis / Injury Code specifying	
	type of diagnosis. Indicate initial, preliminary,	
Diagnosis Type	working, final diagnoses here.	
Procedure Code	CPT code for any procedures conducted	

\**Italics* indicates the field may not be available for all facilities.

### Oregon Alliance to Prevent Suicide-Data and Evaluation Committee Workplan (2021-2022)

Goal	Strategies	Activities/ Topics	Timeline	Who's Responsible	Results	
					Outputs	Outcomes
Educate Alliance Data & Evaluation Committee Members on extant data sources, research and analysis relevant 	topical presentations or discussions to occur during	ESSENCE-	ESSENCE Presentation TBD		ESSENCE Presentation occurred (date)	Additional questions or actions that emerge as a result of the presentation
	Crisis And Transition Services (CATS)					
		Crisis Text Line				
	Oregon Department of Education/SWIS data					
		Oregon Healthy Teens/Student Wellness Survey				

		Suicide Trends in Oregon compared to the US or other similar regions			
Identify novel data elements and analyses of existing data sources to support the evaluation of the YSIPP/ASIPP	advocate for the creation of novel data elements or analyses of extant	Training – Count of people trained in Big 6 - including workforce demographics	Metric 1 Date of Action	Measurement Definition Created, Advocacy Letter Drafted Etc.	What happened as a result of the output/did something change in systems or surveillance?
		Gatekeeper training tracking and evaluation - are these trainings being used and how?			
		ESSENCE	Analyses 1 Date of Action		
	Adi's Act- Count of schools with complete plans				
		Suicide Prevention Coalition Study			

		Stigma		
		Clinical Intervention		
	Clinical Intervention Efficacy			